

PATENT  
UTXC528

APPLICATION FOR UNITED STATES LETTERS PATENT

for

*Sub A1*  
PARENTERAL PIMARICIN AS TREATMENT OF SYSTEMIC  
INFECTIONS

by

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EXPRESS MAIL MAILING LABEL

NUMBER EF943306906US

DATE OF DEPOSIT August 15, 1997

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## BACKGROUND OF THE INVENTION

The present invention relates to a drug formulation that is useful for the treatment and suppression of systemic infections, for example those caused by *Aspergillus* and *Fusarium* species.

Disseminated fungal infections constitute one of the most difficult challenges for clinicians caring for patients with hematological cancer (1). While the incidence of hematogenous candidiasis has been significantly reduced with the introduction of fluconazole prophylaxis, the opportunistic molds have become the leading cause of infectious mortality in this patient population (2). Aspergillosis clearly remains the most common mold infection in patients with hematological cancer. However, new opportunistic pathogens have now emerged as a cause of life-threatening infection worldwide. The most frequently reported of these pathogens is *Fusarium* (3-7). Infection with *Fusarium* is associated with a very high mortality and is typically refractory to amphotericin B. Since infection with this organism may mimic aspergillosis, patients are usually treated with Amphotericin B (AMB), an agent with poor activity against Fusariosis. In addition, the airways are the most common primary site of inoculation and infection and are almost always involved in disseminated disease (3-7). Hence, any drug with good activity against Fusariosis (particularly if it is also active against Aspergillosis) that could be given parenterally and also through aerosolization or nebulization will significantly improve our therapeutic armamentarium.

In addition to being ineffective against Fusariosis, Amphotericin B, the first-line treatment for documented or suspected systemic mold infections carries with it common (>75% of treated subjects), substantial and frequently dose-limiting nephrotoxicity, requiring at times hemodialysis. The acute infusion-related adverse events (severe shaking chills, fever, nausea, vomiting, headache) are quite troublesome to patients. Other serious side effects, such as cardiac arrhythmias, bone marrow suppression, neuropathies, and convulsions are also encountered with the use of AMB, although less frequently (8). The introduction of liposomally encapsulated AMB was anticipated to improve the control of systemic fungal infections (9,10). Its administration changed the drug's biodistribution, allowing significantly higher doses to be delivered with (hopefully) better anti-fungal

1 effects, without encountering serious nephrotoxicity (11-13). In spite of an increased renal  
2 tolerance to liposomal AMB compared with the parent drug, this new formulation has  
3 several limitations, including its high cost (presently around \$800 per day) which has  
4 limited its use, its toxicity profile which is identical to that of Amphotericin B (except for  
5 the kidney toxicity) and the fact that there is no evidence that this new drug formulation has  
6 actually improved the ultimate control rate of serious mycotic/mold infections. Liposomal  
7 AMB has recently received federal approval for routine clinical use in the U.S.

8 The only important clinically available alternative to AMB for the treatment of  
9 systemic mold infections is itraconazole (Sporinox™) (13, 14, 15). This agent is presently  
10 available exclusively as an oral preparation that is only erratically absorbed from the  
11 intestinal tract, yielding variable plasma concentrations with highly unpredictable anti-  
12 fungal activity (13) and has little or no activity against *Fusarium*. This bioavailability  
13 problem is particularly difficult to manage in bone marrow transplant (BMT) patients who  
14 are at highest risk for invasive mold infections. Such patients typically have severe  
15 mucositis that interferes with their ability to swallow the itraconazole capsule and also  
16 impairs the already erratic intestinal absorption of the drug. In addition, these patients  
17 commonly receive antacids or H2 blockers, both agents known to interfere with the  
18 absorption of itraconazole.

19 Based on the above considerations, the development of an effective antimycotic  
20 agent with low normal organ toxicity, high bioavailability, predictable pharmacokinetics  
21 after parenteral administration, and activity against both *Fusarium* and *Aspergillus* appears  
22 highly desirable. Pimaricin, or natamycin (Fig. 1) would fulfill the criterion of being an  
23 effective anti-fungal agent, exerting significant activity against molds, particularly *Fusarium*  
24 and *Aspergillus*. It was first isolated in 1955 from a strain of *Streptomyces* (15). Pimaricin  
25 exhibited a wide range of *in vitro* activity against fungi, yeast, and trichomonads (15, 16,  
26 17). The drug was found to have little or no toxicity after oral administration, being  
27 virtually non-absorbable from the gastrointestinal tract (16, 17). However, the lack of  
28 solubility of pimaricin in various solvents, both aqueous and organic, compatible with  
29 human administration has severely restricted its use in clinical medicine. Pimaricin's  
30 medical utilization is currently confined to the topical treatment of corneal fungal infections

1 (18) and the prevention of such infections in contact-lens users. In contrast, pimarin's  
2 prominent chemical stability paired with its apparent lack of intestinal absorption and  
3 systemic toxicity formed the basis for its FDA-approved use in the food industry, where it is  
4 used to prevent the proliferation of (aflatoxin-producing) molds (19).

5 A parenterally acceptable, nontoxic formulation of pimarin would be potentially  
6 beneficial not only for cancer patients, but also for other groups of immunocompromised  
7 patients, e.g. those suffering from HIV and those having recently undergone open heart  
8 surgery, all of which are commonly targets for opportunistic infections.

9 Past attempts to solubilize pimarin in vehicles that are safe for intravascular  
10 administration in humans have all failed, despite the hard work by Stuyk and others (15, 16,  
11 17). Thus, Korteweg and coworkers attempted to solubilize the drug by mixing it with a  
12 complex polysaccharide (16). Although the water-solubility of this formulation increased  
13 dramatically, its antifungal *in vitro* activity decreased to about 1/3 of that of native  
14 natamycin. Further, this preparation is comparatively toxic in experimental animals, and it  
15 was therefore deemed unsuitable for systemic parenteral administration in humans (15).

#### 16 SUMMARY OF THE INVENTION

17 One aspect of the present invention is an antifungal composition that is suitable  
18 for parenteral administration to a mammal. The composition includes an amount of  
19 pimarin or an antifungal derivative thereof that is effective to inhibit the growth of a  
20 systemic infection in a mammal; a pharmaceutically acceptable dipolar aprotic solvent;  
21 and a pharmaceutically acceptable aqueous secondary solvent. Suitable dipolar aprotic  
22 solvents include N,N-dimethylacetamide (DMA) and dimethyl sulfoxide (DMSO). The  
23 aqueous secondary solvent can be, for example, water, saline solution, or dextrose  
24 solution. It can also be an aqueous lipid emulsion. Suitable aqueous lipid emulsions  
25 include those that comprise a lipid component that includes at least one vegetable oil and  
26 at least one fatty acid. In one particular embodiment of the invention, the lipid  
27 component comprises at least about 5% by weight soybean oil and at least about 50% by  
28 weight fatty acids. The lipids in the composition are preferably present in a form other  
29 than liposomes (e.g., at least about 50% by weight of the lipid is not in the form of  
30 liposomes, more preferably at least about 75%, and most preferably at least about 95%).

1 Another aspect of the present invention concerns a method of preventing or  
2 treating a systemic infection in a mammal. The method comprises administering  
3 parenterally to a mammal a composition as described above, in an amount that is  
4 effective to inhibit the growth of a systemic infection in the mammal. Although the  
5 present invention is especially useful for preventing or treating systemic fungal  
6 infections, it can also be used for prevention and treatment of systemic infections caused  
7 by other infectious agents that are sensitive to pimarin in vivo, such as viruses.

8 Another aspect of the present invention concerns a method of preparing an  
9 antifungal composition for internal use in a mammal, especially a human. This method  
10 includes the steps of dissolving pimarin or an antifungal derivative thereof in a  
11 pharmaceutically acceptable dipolar aprotic solvent; and adding to the solution a  
12 pharmaceutically acceptable aqueous secondary solvent. In one preferred embodiment,  
13 the method further includes the step of lyophilizing the composition, whereby the  
14 majority of the water and the aprotic solvent (e.g., more than 50%, preferably more than  
15 95%, and most preferably more than 99% by weight) are removed from the composition  
16 and a dry, shelf-stable composition is produced. This dry composition can be  
17 reconstituted into an aqueous solution suitable for parenteral administration to a mammal,  
18 by adding to the dry composition a pharmaceutically acceptable aqueous solvent.  
19 Suitable pharmaceutically acceptable aqueous solvents for reconstituting the composition  
20 include the known parenteral infusion fluids, such as saline solution and dextrose solution  
21 in addition to distilled water.

22 We have examined the available methods for solubilization and devised nontrivial  
23 procedures for solubilizing this agent for parenteral use: we have dissolved it using an  
24 organic solvent as the primary vehicle, e.g. dimethylacetamide, and then followed with  
25 secondary cosolvents to increase the drug's stable aqueous solubility, or alternatively, we  
26 have followed the primary solubilization step with a second aqueous solvent followed by  
27 lyophilization to create a pimarin solvate with minimal organic solvent content, yet one  
28 that could be easily reconstituted using distilled water only. Employing a variety of  
29 chemical and biological assays we showed that the resulting final pimarin formulations  
30 are stable for several hours at room temperature, and that they retain full antifungal

1 activity. We ultimately used one of the formulations in a canine model to demonstrate  
2 that the reformulated pimaricin permits what has heretofore been impossible, namely safe  
3 parenteral (e.g., intravascular) administration with negligible toxicity, yielding clearly  
4 fungicidal plasma concentrations for more than six hours following the administration.

5 The present invention provides vehicles for the formulation of pimaricin that are  
6 physiologically compatible with parenteral administration in man and domestic animals.  
7 The pimaricin formulations of the present invention are non-toxic and can be used for the  
8 parenteral treatment of systemic infections sensitive *in vitro* to this compound, such as  
9 infections of *Candida*, *Aspergillus*, and *Fusarium*, to circumvent the virtually nonexistent  
10 intestinal absorption of the drug. The invention will allow the introduction of pimaricin  
11 in clinical practice for the therapy of systemic infections, such that the therapeutic  
12 outcome for patients with systemic infections sensitive to the drug can be improved.

13 A high-pressure chromatography technique that allows the accurate determination  
14 of low concentrations of pimaricin in various solvent systems and in biological fluids.  
15 This patent also describes our *in vivo* canine model for studying the pharmacokinetics of  
16 pimaricin after parenteral administration.

### 17 BRIEF DESCRIPTION OF THE DRAWINGS

18 Fig. 1: Chemical structure of pimaricin as free drug.

19 Fig. 2: Stability of pimaricin in DMA alone at 4°C (◆), and at RT (22°C) (□), at a  
20 concentration of 100 mg/ml. The y-axis shows the fraction of drug remaining as  
21 percentage of control (i.e, starting concentration).

22 Fig. 3: HPLC chromatogram of pimaricin in the HPLC assay. Fig. 3a: Pimaricin  
23 extracted from an aqueous solution of 5 µg/ml. Fig. 3b: Pimaricin extracted from a  
24 plasma sample spiked to a concentration of 5 µg/ml.

25 Fig. 4: Stability of pimaricin at 4°C, 22°C, 40°C, and 60°C. The pimaricin  
26 formulation was in DMA-aqueous lipid emulsion prepared "fresh." "AUC" is the area  
27 under the curve of the pimaricin peak in the chromatogram. This represents drug  
28 concentration, but in this experiment it was not translated into a numerical drug  
29 concentration using a standard curve plotting AUC vs. drug concentration.

Fig. 5: Stability over 48 hours of the final solution for clinical use, maintained at RT after dilution to 1 mg/ml. The symbols refer to the following solutions: Pimaricin/L/NACL: the lyophilized and reconstituted solution was diluted from 10 mg/ml to 1 mg/ml with NS. Pimaricin/L/D5: as above, but the secondary solvent was 5% dextrose instead of NS. Pimaricin/NACL: the DMA/Intralipid™ formulation was prepared fresh to a concentration of 10 mg/ml as described, and the secondary solvent used was NS. Pimaricin/D5: The same DMA/Intralipid™ formulation as above, prepared fresh, but the secondary solvent was 5% dextrose instead of NS.

Fig. 6: Hemolytic effects of the DMA/DMSO/PEG/PG formulation without (○) and with pimaricin (●).

Fig. 7: Hemolytic effect of the freshly prepared DMA/aqueous lipid formulation without (□) and with pimaricin (Δ). Negative control was 10% aqueous lipid (Intralipid™) alone (○), at a concentration comparable to that when pimaricin was added to the vehicle at the concentration indicated on the abscissa.

Fig. 8: Hemolytic effect of the DMA/aqueous lipid solution lyophilized and reconstituted in double-distilled water without (■) and with pimaricin (▲). Negative control was the 10% aqueous lipid (Intralipid™) alone (○), at a concentration comparable to that when pimaricin was added to the vehicle at the concentration indicated on the abscissa.

Fig. 9: Pimaricin formulated fresh in DMA/aqueous lipid was assessed for toxicity against the KBM-7/B5 cells (■), and against HL-60 cells (▲), using the MTT assay for 48 hours (Fig. 9a), and for 72 hours (Fig. 9b) as described in materials and methods.

Fig. 10: HPLC chromatograms of a plasma sample analyzed with the HPLC assay. Fig. 10a: Plasma blank samples before the start of infusion. Fig. 10b: Sample from a dog injected with 5 mg/kg body weight of pimaricin. The drug was given over 1 hour iv and this blood sample was obtained 5 hours after drug infusion was completed. The sample was extracted and analyzed as described in the text.

Fig. 11: Dose linearity of pimaricin utilizing the established HPLC assay in the concentration range 100 ng/ml to 25 µg/ml.

Fig. 12: Comparative plasma concentrations during and after infusion of pimaricin at 1 mg/kg, and 5 mg/kg in four beagle dogs. The samples were drawn just before the end of the 60 min infusion and 5 hours after the end of infusion. The different numbers and symbols, respectively, refer to the individual animals, and the 1 and 5 respectively refer to the dose of pimaricin administered per kg body weight.

#### DESCRIPTION OF SPECIFIC EMBODIMENTS

The following abbreviations are used in this patent:

AMB; Amphotericin B.

ATCC; American Tissue Culture Collection, Rockville, MD.

BMT; bone marrow transplant.

DMA; anhydrous N,N,-dimethylacetamide.

DMF; Dimethylformamide.

DMSO; Dimethylsulfoxide.

FDA; U.S. Food and Drug Administration.

HAc; Glacial acetic acid.

HCl; Hydrochloric acid.

HPLC; High pressure liquid chromatography.

HL-60; Human myeloid leukemia cell line.

IMDM; Iscove's modified Dulbecco Medium (GIBCO, Grand Island, New York, NY).

Intralipid™; Brand name of an aqueous lipid emulsion, made from soy bean oil, and marketed for parenteral nutrition by Clintec.

KBM-7/B5; Human myeloid leukemia cell line.

MeOH; Methanol.

MIC; minimum inhibitory concentration.

MTT; 3,[4,5-dimethylthiazol-2-yl]2,5-diphenyltetrazolium-bromide.

NCI; National Cancer Institute.

NS; Normal saline (150 mM NaCl).

PEG; Polyethylene glycol-400.

PG; Polypropylene glycol/1,2-propylene diol.



1 RT; Room temperature (22°C)

2 SDS; Sodium dodecyl sulphate.

3  
4 The present invention involves solubilization of pimarin in pharmaceutically  
5 acceptable liquid vehicles, such that the drug remains chemically stable and can be  
6 administered intravascularly without undue toxicity from undissolved drug and/or from  
7 the solvents at drug doses necessary to obtain clinically significant antibiotic effects.

8 Pimaricin is available from Gist-Brocades N.V. (Netherlands) and Sigma  
9 Chemical Co. (Saint Louis, Missouri). Pimaricin optionally can be used in compositions  
10 of the present invention in the form of one of its antifungal derivatives, such as a salt of  
11 pimarin (e.g., an alkali salt or an alkaline earth salt).

12 We have investigated N,N-dimethylacetamide (DMA), DMSO, glycerol, 1,2,-  
13 propylene-diol (PG), and polyethylene glycol-400 (PEG) as primary solvents that would  
14 be miscible in secondary solvents, examples of which are normal saline, dextrose in water  
15 (5% or 10%), and an aqueous soy bean lipid emulsion (Intralipid™). These solvents are  
16 examples of vehicles in which pimarin could be suitably solubilized, yet be safe for  
17 human administration, alone or in combinations with other drugs. The solubility of  
18 pimarin in individual solvent vehicles is shown in Table 1 below.

19 The described vehicles can be utilized to dissolve pimarin in concentrations  
20 ranging from 1 to more than 100 mg/ml. This range should cover the administration of  
21 doses necessary to yield active antibiotic concentrations *in vivo* that are effective to  
22 eradicate systemic infections sensitive to this drug.

23 The objective of this invention includes the parenteral (e.g., intravascular)  
24 administration of pimarin to improve the control of systemic infections that are  
25 sensitive to this agent. As a paradigm for such infections, we will use various molds and  
26 other fungal organisms. This use of pimarin as a parenteral agent has not been  
27 previously investigated in the practice of medicine, although the drug has well  
28 documented anti-fungal properties *in vitro* (15-17).

29 Virtually no pimarin is absorbed through the intestinal tract after oral  
30 administration, making it impossible to even investigate its use as an oral antibiotic

1 against systemic infections. Parenteral administration would therefore be the logical  
2 approach to evaluate pimaricin as therapy for deep-seated, systemic fungal infections.  
3 Unfortunately, the drug has an exceedingly low solubility in most physiologically  
4 acceptable solvents that would be compatible with intravascular administration in man  
5 (17).

6 Our present studies, which are based on the principle of cosolvency (20, 21), show  
7 that the composite diluent vehicles we propose for use will solubilize pimaricin without  
8 destroying its antifungal properties. Further, the preferred vehicles are nontoxic and safe  
9 for administration in large animals (beagles) and should be acceptable for human  
10 administration in the proposed concentrations and total doses to be utilized; indeed,  
11 DMA, DMSO, and PG have been used for solubilization of various pharmacologically  
12 active agents used in man (22-24). The parenteral administration of PEG has been studied  
13 in detail in a simian model (25), and PEG has subsequently been used clinically as a  
14 (covalently bound) carrier of L-Asparaginase in the treatment of lymphocytic leukemia  
15 and lymphoma (26). DMSO is also extensively used as a cryoprotective agent for low-  
16 temperature storage of human bone marrow and peripheral blood derived hematopoietic  
17 stem cell preparations to be used for transplantation after high-dose chemotherapy (27-  
18 30). No serious adverse effects have been experienced from the use of these vehicles.  
19 The clinical use of normal saline, dextrose in water (5-70%), and aqueous lipid emulsion  
20 are well established means to alter the fluid and electrolyte balance and to supply  
21 parenteral nutrition. Normal saline and dextrose in water are extensively used to dilute  
22 various medications for parenteral use. However, the aqueous lipid emulsion has not yet  
23 found wide-spread use as a pharmaceutical diluent, although this use has been mentioned  
24 (31).

25 The data obtained in our canine model demonstrate that the proposed pimaricin  
26 formulations, that is, those that allow parenteral treatment of systemic infections, will  
27 provide superior bioavailability. After a one-hour i.v. infusion the plasma concentrations  
28 clearly reach, and for an extended time remain in, the fungicidal range as established by  
29 our *in vitro* studies of antifungal activity against *Candida* spp., *Aspergillus* spp., and  
30 *Fusarium* spp. Specifically, our novel pimaricin/DMA/lipid solution is chemically stable

1 and simple to handle at RT. It provides reliable and easily controlled dosing with 100%  
2 bioavailability. The addition of a lyophilization step virtually eliminates the organic  
3 solvent, DMA, from the final clinical "working solution", and it should abolish the  
4 potential for adverse reactions related to the DMA, and minimize the possibility for a  
5 potentiation of (hepatic) side effects from the combination of DMA and pimarinic. This  
6 added step should therefore assist in maximizing patient safety after drug administration.

7 In cancer patients, the access to parenteral pimarinic will be particularly  
8 important, since their intestinal absorption is often perturbed after chemotherapy,  
9 aggravating the already erratic intestinal absorption of various medications. The  
10 parenteral route will also make it possible to circumvent unpredictable first-pass  
11 metabolic effects in the liver, well known to alter the bioavailability of numerous  
12 pharmacologically active agents after oral dosing (32). Further, the availability of  
13 pimarinic for effective and reliable systemic administration will for the first time make it  
14 possible to clinically compare the activity of pimarinic against that of "the gold  
15 standard", AMB, for the treatment of systemic mycoses.

16 In summary, certain infections in immunocompromised patients, e.g. those caused  
17 by various molds, particularly *Fusarium*, may be eradicated by pimarinic. In fact,  
18 pimarinic may be the only effective drug for the treatment of *Fusariosis*, since this  
19 infection typically is resistant to AMB. The design of a nontoxic, pharmaceutically  
20 acceptable, water miscible, parenteral formulation of pimarinic eliminates the risk of  
21 treatment failure from the suboptimal bioavailability of oral pimarinic. The addition of a  
22 lyophilization step in the preparative procedure will create a pimarinic solvate with  
23 minimal DMA content. This will reduce the risk of adverse effects related to the  
24 vehicle's organic component.

25 The following examples are presented to describe the preferred embodiments and  
26 utilities of the present invention, but they are not intended to limit the invention to these  
27 aspects, unless otherwise stated in the claims appended hereto.

28 **EXAMPLE 1. Pimarinic Formulations Acceptable for Parenteral**  
29 **Administration.**

1 The objectives of this experiment were to design formulations of pimaricin that  
2 are acceptable for parenteral administration, to calculate the necessary solubility/stability  
3 needed to accomplish this goal, and to evaluate our ability to make such preparations with  
4 a high pressure liquid chromatographic (HPLC) technique.

#### 5 **METHODOLOGY.**

##### 6 **Calculation of the Desired Solubility.**

7 We have calculated a relevant solubility range for pimaricin by extrapolation from  
8 known values for AMB. AMB is presently the only polyene antibiotic that is FDA-  
9 approved for parenteral use. The currently utilized AMB regimens typically prescribe a  
10 daily dose of 0.6-1.0 mg/kg body weight as free AMB or 5-6 mg/kg body weight for  
11 liposomally-complexed drug (11). We have assumed that a clinically safe maximum  
12 infusion rate for pimaricin is 2-3 ml/min over 60-120 minutes, thus arriving at peak  
13 plasma concentrations in the range of 3-15 µg/ml (4.5-20 µM). Such concentrations may  
14 be necessary if pimaricin treatment is to be successful, since AMB and pimaricin on a  
15 molar basis have a similar concentration vs. activity range *in vitro* (AMB about 0.3-10  
16 µM, and Pimaricin about 3-20 µM). Therefore, the anticipated daily pimaricin dose  
17 would be around 1.0 - 5.0 mg/kg body weight. If this dose were dissolved at a  
18 concentration of 1-5 mg/ml, a 50-100-fold increase over the established aqueous  
19 solubility of 25-50 µg/ml at RT would be required (17).

##### 20 **Enhanced Solubility in Physiologically Acceptable Solvents.**

21 Pimaricin solubility was determined in several individual vehicles. Briefly, a  
22 known amount of the drug, as a powder (different lots of purified drug were obtained  
23 from Gist-Brocades N.V., Netherlands, and from Sigma Chemical Company, St. Louis,  
24 MO), was equilibrated in the respective solvent at RT (22°C) over 1-4 hours. An aliquot  
25 was then removed and diluted in MeOH prior to HPLC at predetermined times. Based on  
26 the pimaricin solubility in these particular vehicles, we then attempted to enhance the  
27 (stable) solubility by mixing different solvents according to the principle of cosolvency  
28 (20, 21). Several different solvent systems were evaluated relative to the above estimates  
29 of necessary solubility to arrive at a clinically relevant optimal stock formulation. This  
30 stock formula would then be diluted with a "final solvent" to yield the complete working

1 formulation with a pimaricin concentration that could be infused parenterally without  
2 problem. For the final solvent we used the commonly utilized parenteral infusion fluids,  
3 such as normal saline, dextrose in water (5% or 10%), or a parenterally acceptable  
4 aqueous lipid emulsion (e.g. Intralipid™ or Liposyn II™ (Abbott)), all of which are  
5 readily available and approved for parenteral administration.

#### 6 **HPLC Assay.**

7 A most accurate and sensitive detection system for low concentrations of  
8 pimaricin in solution, both protein-containing and protein-free mixtures, is an HPLC  
9 assay utilizing absorbance detection with a variable wave length detector operating in the  
10 u.v. spectrum at 293 nm, a value chosen on the basis of the inherent absorption maxima  
11 of the pimaricin molecule (17).

12 We tested this hypothesis using a liquid chromatographic system equipped with  
13 an LDC 4000™ multi-solvent delivery system and a Waters™ system 717*plus*  
14 Autoinjector™. The absorbance detector was a LDC 3100 variable wave length detector  
15 in sequence with an LDC model CI 4100 fully computerized integrator. The column used  
16 was a Whatman EQC™ 10 µl 125A C18 column (4.6 mm i.d. x 21.6 cm) (Whatman Inc.  
17 Clifton, NJ). The mobile phase system was an isocratic mixture of MeOH (47% v/v),  
18 tetrahydrofuran (2% v/v), and NH<sub>4</sub>-acetate (0.1% w/v) made up to 100% with double-  
19 distilled water. All chemicals were HPLC grade unless otherwise indicated. The flow  
20 rate was 1.5 ml/min and the recorder's chart speed was 5 mm/min, modified from (33).

#### 21 **RESULTS AND DISCUSSION.**

##### 22 **Pimaricin Solubility.**

23 Several strategies were evaluated to solubilize pimaricin in water-miscible  
24 physiologically acceptable vehicles that would be compatible with human administration.  
25 The examined candidate solvents included castor oil, DMA, DMSO, PEG, and PG, in  
26 addition to the aqueous solvents HAc, NS, 5% dextrose in water and an aqueous soy bean  
27 emulsion (Intralipid™). HAc and DMA were the best primary solvents, followed by  
28 DMSO, whereas pimaricin as expected was insoluble in most of the aqueous solvents.  
29 Only with HAc and DMA did we reach a solubility in excess of 10 mg/ml. Further.  
30 although pimaricin could be dissolved in HAc and DMA to at least 100 mg/ml, it started

1 degrading already within a few hours in solution (Fig. 2). Stabilizing the pimaricin once  
2 dissolved in DMA was then addressed with a cosolvency approach (20, 21). Numerous  
3 cosolvent combinations were investigated; the composite organic system of  
4 DMA/DMSO/PEG/PG appeared to work well, but it did still only allow pimaricin to be  
5 dissolved at a final concentration of about 10 mg/ml. This composite vehicle did not  
6 allow stable solubilization of pimaricin for more than a few hours. When NS or 5%  
7 dextrose in water was added, significant degradation rapidly took place. In contrast, a  
8 different pattern was recorded when a lipid-containing cosolvent was utilized. When  
9 HAc was used as the primary solvent, the best secondary solvents appeared to be DMA,  
10 DMSO or Intralipid™.

#### 11 **HPLC Assay.**

12 Two examples of pimaricin chromatograms from the HPLC assay are shown in  
13 Figure 3. In Fig. 3a the drug was analyzed in the aqueous DMA-Intralipid solvent, and in  
14 Fig. 3b it was extracted from human plasma that had been spiked with 5 µg/ml prior to  
15 extraction as described above. The retention time under the above conditions was 9.8-  
16 10.8 min, and the assay was linear from 100 ng/ml to 25 µg/ml in protein-free solutions,  
17 i.e. the various solvent systems utilized in the formulation-feasibility and -stability  
18 studies, and from about 50 ng/ml to 1 mg/ml for protein-containing solutions (plasma  
19 samples). This assay consistently yielded high recovery, accuracy and a lower sensitivity  
20 limit of about 10 ng/ml. The technique was standardized and used without modifications  
21 for the studies of both stability and pharmacokinetics.

#### 22 **EXAMPLE 2. Solubility and Stability Studies of Various Formulations.**

23 The objectives of this experiment were to: (1) design stable pimaricin  
24 formulations that are suitable for parenteral administration; (2) establish the chemical and  
25 physical stability of pimaricin in the novel vehicles; (3) establish the solubility of  
26 pimaricin in these vehicles when mixed with NS, dextrose in water, and Intralipid™; and  
27 (4) investigate the *in vitro* properties of these formulations; i.e. their osmolarity,  
28 hemolytic potential, and cytotoxicity, to show that they are appropriate for the intended  
29 purpose.

## **METHODOLOGY.**

### **Solubility Studies.**

An excess amount of pimaricin as a solid powder was added to castor oil, DMA, DMSO, PEG, and PG at RT. Each mixture was placed in a dark environment and checked visually for up to 4 hours for evidence of solubilization. Samples of 1 ml were taken at various time intervals, and filtered through a 0.45  $\mu$ m PTFE membrane filter fitted to a syringe assembly (Whatman Inc.), and after appropriate dilution, the pimaricin concentration was determined by HPLC.

### **Stability of the Various Pimaricin Formulations.**

To study the physical and chemical stability of the various parenteral formulations, three sets of experiments were performed:

(a) Pimaricin was dissolved at a concentration of 100 mg/ml in DMA ("stock solution") and incubated at 4°C, at 22°C and at 40°C. We analyzed the drug concentration by HPLC in samples taken immediately after solubilization and after gradually increasing time intervals of up to 48 hours.

(b) The pimaricin-DMA stock solution was diluted with PEG/water (1:1:1, v:v:v, DMA:PEG:water), or PG/DMSO (1:1:1, v:v:v), or PG/DMSO/PEG (1:1:1:1, v:v:v:v), or aqueous lipid emulsion (1:10 and 1:100, v:v, DMA:Intralipid™), to yield pimaricin concentrations ranging from 1-10 mg/ml.

(c) The DMA-pimaricin mixture was diluted in NS or 5% dextrose to a drug concentration of 1 mg/ml.

(d) The pimaricin-HAc mixture was blended with DMSO and Intralipid™, or directly in Intralipid™.

The various formulations were analyzed by HPLC immediately after mixing, then hourly for 8 hours, and then at gradually increasing time intervals up to several weeks, depending on the rate of degradation in the respective solvent system.

The solubility of the drug differed markedly between different solvents (Table 1). Only DMA and HAc, which provided the highest solubility were considered for extended studies as primary solvents.

Table 1

## Solvents Tested for Solubilization of Pimaricin

Formulation	Time Allowed to Solubilize (hr)	Maximum Solubility (mg/ml)	Vehicle
1	4	2	DMSO
2	4	10	DMA
3	6	100	DMA
4	4	0.078	PG
5	<0.2	>300	HAc
6	4	N/S	Castor oil
7	4	N/S	PEG400
8	4	N/S	Intralipid

(N/S indicates that pimaricin was not soluble in that solvent.)

To lower the DMA concentration in the final stock- and use-formulations without adversely affecting the drug's shelf life, we investigated lyophilization as part of the preparation of a complete pimaricin/DMA/aqueous lipid-solvate vehicle.

**Osmotic Pressure Measurement.**

Osmotic pressures were measured with a micro-osmometer model 3MOplus osmometer (Advanced Instruments Inc., Needham Heights, MA). The instrument was calibrated using Advans™ intrinsic calibration standards (Advanced Instruments Inc.) over a range of 500-2000 mOsm/kg. The test solution was placed in a disposable cuvette from the test kit, and the osmotic pressure readings were recorded after equilibration in units of mOsm/kg. Triplicate measurements were carried out for each vehicle (without pimaricin), and six measurements were done with pimaricin added.

We used a two-tailed t-test to evaluate the differences in osmotic pressures of the various vehicle formulations with and without the addition of pimaricin (34). The difference between the means of the two groups was to be considered significantly different for  $P \leq 0.05$ .

**Hemolysis Studies *in vitro*.**



1 We employed the procedure of Parthasarathy et al to examine the hemolytic  
2 potential of a few selected preparations (35), and the LD<sub>50</sub> values of the various  
3 formulations were constructed as described. Briefly, heparinized blood was mixed with  
4 an equal volume of Alsever's solution. This mixture was washed twice in PBS, and a  
5 10% (v/v) erythrocyte/PBS solution was then prepared and mixed with increasing  
6 amounts of the complete solvent system with or without the addition of pimaricin. These  
7 mixtures were then incubated for 4 hours at 37°C. At the end of the incubation, the cells  
8 were pelleted at 10,000 x g in an Eppendorff™ centrifuge, and the release of hemoglobin  
9 in the supernatant (i.e. hemolysis) was spectrophotometrically determined at 550 nm.  
10 Maximum lysis was measured against a reference solution of erythrocytes that had been  
11 completely lysed by hypotonic shock. The hemolytic potential of three of the complete  
12 formulations was evaluated as described (35), and the data were plotted as the fraction of  
13 healthy cells versus ln (natural logarithm) (total volume percent). Total volume percent  
14 was defined as the volume percent of the vehicle in the mixture after dilution with blood.  
15 This was done in an attempt to simulate the dilution of the respective drug formulation in  
16 the bloodstream after parenteral administration. Healthy erythrocytes were defined as  
17 those capable of retaining their hemoglobin intracellularly after mixture with the various  
18 pimaricin formulations (35).

#### 19 *In Vitro* Cytotoxicity of Pimaricin.

20 The cytotoxic potential of selected solvent systems with and without pimaricin  
21 was determined against the two human myeloid leukemia cell lines HL-60 (36) and  
22 KBM-7/B5 (37, 38), using a modification of the previously published MTT assay (39,  
23 40). Briefly, HL-60 or KBM-7/B5 cells in Iscove's modified Dulbecco medium (IMDM)  
24 supplemented with 10% fetal bovine serum were incubated for 60 min at 37°C with the  
25 complete vehicles (a: DMA/PG/DMSO/PEG in ratios 1:1:1:1, v/v, and b:  
26 DMA/Intralipid™, 1:10, v/v, or c: HAc/DMSO/Intralipid™, 2:6:3, v/v) at increasing  
27 concentrations of the vehicle (0.5%, 1.0%, 2.0%, 3.0%, and 10%, v/v) with or without  
28 pimaricin. At the end of the 60 min incubation the cells were washed in ice-cold PBS  
29 and resuspended in IMDM with 10% fetal bovine serum at 37°C. Twenty-four hours later  
30 25 µl MTT solution (5 mg/ml) (Sigma Chemicals, St. Louis, MO) was added to each

1 sample, and following an additional 2 hours of incubation at 37°C, 100 µl extraction  
2 buffer was added [extraction buffer: 20% (w/v) SDS dissolved to saturation at 37°C in a  
3 solution of DMF and deionized water (1:1); pH 4.7]. After incubation overnight at 37°C,  
4 the optical densities were measured at 570 nm using a Titer-Tech™ 96-well multi-  
5 scanner™, against extraction buffer as the calibrating blank. The cytotoxicity was  
6 determined as the colorimetric difference between the samples exposed to solvent  
7 ±pimaricin as above and the background reactivity of cells that had been incubated in  
8 parallel in PBS alone. All determinations were performed in triplicate (39, 40).

## 9 **RESULTS AND DISCUSSION.**

### 10 **Equilibrium Solubility Determinations and Stability Studies in Various** 11 **Solvent Vehicles.**

12 A maximum equilibrium solubility of pimaricin of >100 mg/ml was achieved in  
13 DMA after 4 hours at RT. The drug formulations in castor oil, DMSO, PEG-400 and PG  
14 achieved considerably lower equilibrium concentrations (Table 1). The latter solvents  
15 neither provided an acceptable solubility nor chemical stability of the dissolved drug, and  
16 these vehicles were therefore not considered for further studies. Once a pimaricin  
17 solubility of 100 mg/ml was reached in anhydrous DMA and HAc respectively, the drug  
18 started degrading with a loss of approximately 5-10% over the subsequent 3-4 hours. The  
19 drug was more stable when PEG was used as a secondary solvent, but again drug  
20 degradation began within another few hours at RT. At 4°C the drug was more stable, but  
21 degradation was still apparent within 8 to 12 hours.

22 The temperature-dependent stability of solubilized pimaricin in the different  
23 solvent systems was studied as follows: The drug was dissolved in DMA at 100 mg/ml.  
24 and different aliquots were stored at 4°C, at 22°C, and at 40°C. Immediately after  
25 solubilization and at various intervals up to 48 hrs later, aliquots from the different  
26 samples were analyzed by HPLC. The drug samples stored at 4°C and at 22°C degraded  
27 slower than those stored at higher temperatures: at 40°C the pimaricin started degrading  
28 within 1 hour after the start of incubation, and at RT there was a loss of 5-10% in the first  
29 four hours.

1 When the 20% aqueous lipid emulsion (Intralipid™) was used as a secondary  
2 solvent, a different stability pattern was recorded; when the pimarin concentration was  
3 adjusted to 1-10 mg/ml by dilution with 20% Intralipid of the DMA-pimarin and the  
4 HAc-pimarin stock solutions, the drug was stable for more than 7 days (Fig. 4).

5 The major fraction of the organic solvent, DMA, was removed by lyophilization  
6 of the pimarin/DMA/aqueous lipid complex to create a solvate that was stable yet easily  
7 reconstituted by adding only double-distilled water under gentle agitation without any  
8 appreciable loss of anti-fungal efficacy. Indeed, within a few minutes after addition of  
9 distilled water to the solvate, the drug was reconstituted at 1-10 mg/ml, with only trace  
10 amounts of the organic solvent remaining. This reconstituted pimarin formulation  
11 retained an anti-fungal efficacy that was equivalent to that of the freshly prepared  
12 DMA/aqueous lipid formulation when assayed *in vitro* (see below under Example 3).  
13 This reconstituted formulation was also stable at 4°C for more than 2 weeks. The  
14 lyophilized pimarin formulation remained stable (by HPLC) for more than four months  
15 at 4°C. This preparation could still be readily reconstituted to 10 mg/ml within a few  
16 minutes with distilled water, with retention of full anti-fungal activity *in vitro* (see Tables  
17 3 and 4 below).

18 We further simulated a final clinical use-formulation with a pimarin solution of  
19 1 mg/ml by diluting the 10 mg/ml-formulations (prepared fresh with DMA/Intralipid or  
20 after lyophilization/reconstitution respectively) with 5% dextrose or NS. Figure 5 shows  
21 the respective stability at RT of these "use-formulations". Similarly, when HAc and  
22 DMSO were used as the primary solvent system prior to mixing with Intralipid and  
23 followed by lyophilization, the majority of the organic solvent, here DMSO, was  
24 removed and the result was a stable lipid-based solvate, that could be easily reconstituted  
25 to 10 mg/ml under gentle agitation after the addition of distilled water. This reconstituted  
26 formulation was also stable for more than 24 hours at RT assessed by HPLC.

### 27 Osmotic Pressure.

28 It is desirable that a parenteral formulation of a pharmacologically active agent be  
29 isosmotic to blood. A hypertonic delivery system can be utilized if the drug/solvent is

infused through a (central) venous catheter and gradually diluted in a large blood volume. The osmotic pressure of the various formulations is shown in Table 2.

Table 2  
Osmotic Pressures of Various Vehicles with and without Pimaricin

Solution	n	Osmotic pressure mOsm/kg
Water	3	3
Normal saline	3	233
5% dextrose in water	3	286
Blood, human	6	280-295
DMA:PEG:PG	3	4492
Pimaricin in DMA:PEG:PG	3	4732
Intralipid	3	340
DMA:Intralipid (1:10, v/v)	3	2067
Pimaricin in DMA:Intralipid (1:10, v/v, fresh)	3	1930
DMA:Intralipid (1:10, lyophil.-reconstit.)	3	157
Pimaricin (1 mg/ml) in DMA:Intralipid (1:10, lyophil.-reconstit.)	3	208
Pimaricin (25 mg/ml) in DMA:Intralipid (1:10, lyophil.-reconstit.)	3	243

("n" represents the number of independent determinations.)

The DMA-stock formulation with or without pimaricin was very hypertonic; its osmotic pressure was more than 1,900 mOsm/kg, as compared with 280-295 mOsm/Kg for human blood. The DMA/PG/DMSO/PEG and DMA/PEG solvents were almost as hypertonic. In contrast, the DMA/Intralipid preparation was closer to isosmotic when reconstituted after lyophilization. Similarly, the lyophilized/reconstituted HAc/DMSO/Intralipid™ vehicle was also close to isosmotic. Adding pimaricin to the respective vehicles did not appreciably change their osmolarity ( $P > 0.05$ ).

#### Hemolysis.

As shown in Figures 6-8, the formulations studied showed similar trends for hemolysis with the addition of pimaricin. The pimaricin dependent lysis was notable at concentrations exceeding 40 µg/ml for the composite organic solvent and at ≥50 µg/ml for the freshly prepared DMA/Intralipid formulation and at ≥60 µg/ml for the lyophilized-reconstituted DMA/aqueous lipid formulation. The drug-specific hemolysis

1 was highly reproducible between different experiments, as was the internal ranking  
2 between the various solvent systems between the different experiments. The detailed  
3 data for the different vehicles with and without pimaricin are summarized in Figures 6-8.  
4 LD<sub>50</sub> values can be deduced from this information. The DMA/Intralipid™ “fresh”  
5 formulation had a significantly lower hemolytic potential than the DMA/PEG/PG/DMSO  
6 composite organic vehicle. Further, the hemolytic potential of the lyophilized  
7 DMA/Intralipid formulation was significantly lower than that of the freshly prepared  
8 DMA/aqueous lipid formulation for all pimaricin concentrations from 1 µg/ml up to 100  
9 µg/ml. Finally, pimaricin-induced hemolysis in all of the tested vehicles was significantly  
10 lower (>10-fold ) than that observed for various AMB formulations (LD<sub>50</sub> values in the  
11 range of about 4-5 µg/ml) under similar experimental conditions (41).

### 12 **In Vitro Cytotoxicity of Pimaricin.**

13 The HL-60 and KBM-7/B5 myeloid cells were exposed to the selected vehicles at  
14 increasing volume ratios with or without the addition of increasing drug concentrations.  
15 The cytotoxicity of each formulation was then assayed in the MTT assay (39, 40). None  
16 of the examined solvent systems exerted any detectable toxicity against the cells in this  
17 assay (Fig. 9).

### 18 **EXAMPLE 3. Antifungal Activity of Solubilized Pimaricin.**

19 The objective of this experiment was to critically evaluate the in vitro antifungal  
20 activity of pimaricin when solubilized in a few selected vehicles using solution AMB as  
21 the reference solution.

### 22 **METHODOLOGY.**

23 The antifungal activity of pimaricin was compared with that of amphotericin B  
24 utilizing a previously described assay (42). Briefly, serial dilutions of pimaricin and  
25 AMB were mixed in RPMI growth medium with L-glutamine and MOPS-buffer, pH 7.0  
26 (Sigma Chemical Co., St. Louis, MO). The different strains of Candida, Aspergillus and  
27 Fusarium spp. were then added to the dishes. After incubation at 35°C for 48-72 hours  
28 the plates were evaluated for fungal proliferation. The used fungal strains were obtained  
29 from the ATCC or isolated from patients, primarily at the MD Anderson Cancer Center.

The pimarin concentrations in the used solutions were assayed in parallel with HPLC to assure the highest possible reproducibility of the drug concentrations.

### **RESULTS AND DISCUSSION.**

The sensitivity data are displayed in Tables 3 and 4.

Table 3  
Sensitivity of Fungal Organisms Against Various Pimaricin Formulations

Organism	Code	L/D µg/ml	Rm-temp µg/ml	F/D (nata+lipid) µg/ml
Aspergillus fumigatus	6-2535	2	2	2
Aspergillus fumigatus	6-7784	2	2	2
Aspergillus niger	6-2165	2	2	2
Aspergillus fumigatus	6-5337-1	2	2	2
Fusarium moniliformi	M6306	2	2	2
Aspergillus flavus	6-4594-2	>16	>16	>16
Fusarium solanii	s-1184	2	2	2
Candida albicans	ATCC 64545	2	2	2

The organisms of Table 3 were prepared as specified in the methodology in Example 3. "L/D" refers to a formulation where pimarin was dissolved to 100 mg/ml in DMA, then diluted to 10 mg/ml with 20% Intralipid, lyophilized and then stored for >4 months at 4°C, followed by reconstitution in normal saline to 10 µg/ml as "use-solution". "Rm-temp" refers to a formulation where pimarin was prepared fresh in DMA and Intralipid (10 mg/ml), kept for one week at RT, and then tested for its antifungal properties. "F/D (Nata+lipid)" refers to a formulation where pimarin was freshly dissolved at 100 mg/ml in DMA and then diluted with 20% Intralipid to 10 mg/ml as a fresh use-solution that was diluted to final concentrations of <2 to 16 µg/ml as described herein.

Table 4 reports the results of another similar experiment.

Table 4

## Sensitivity of Fungal Organisms Against Various Pimaricin Formulations

Organism	Code	Lipid+DMA (1:10) µg/ml	Nata-lipid 1 µg/ml	Nata-lipid 2 µg/ml	AMP+DMSO µg/ml
<i>Aspergillus fumigatus</i>	6-2535	>16	2	2	0.125
<i>Aspergillus fumigatus</i>	6-7784	>16	2	2	0.25
<i>Aspergillus niger</i>	6-2165	>16	2	2	0.03
<i>Aspergillus fumigatus</i>	6-5337-1	>16	4	4	0.5
<i>Aspergillus flavus</i>	6-4594-2	>16	>16	>16	1
<i>Aspergillus fumigatus</i>	6-209	>16	2	2	0.25
<i>Aspergillus fumigatus</i>	6-0960	>16	2	2	0.25
<i>Aspergillus fumigatus</i>	6-1886	>16	4	4	0.25
<i>Aspergillus fumigatus</i>	6-1261	>16	4	4	0.25
<i>Aspergillus flavus</i>	4-9044	>16	>16	>16	1
<i>Aspergillus flavus</i>	6-5337-2	>16	>16	>16	1

“Lipid+DMA” refers to freshly mixed DMA and Intralipid (1:10, v/v), which exerts no antifungal activity by itself. For “Nata-lipid 1” and “Nata-lipid 2,” pimaricin was dissolved in DMA to 100 mg/ml then diluted with 20% Intralipid to 10 mg/ml “use-formulation.” “Nata-lipid 1” refers to a formulation where pimaricin was dissolved as above, and after dilution to 10 mg/ml using Intralipid, it was lyophilized. The lyophilized material was refrigerated for 4 months, then reconstituted in normal saline to 10 mg/ml and tested for antifungal activity. “Nata-lipid 2” refers to a formulation where the pimaricin/DMA/Intralipid formulation was prepared as for Nata-lipid 1 and lyophilized immediately, and was reconstituted and tested for antifungal activity three days later. “AMP+DMSO” refers to a formulation of Amphotericin B dissolved immediately prior to use in DMSO, to serve as a positive control.

The activity of pimaricin was similar to that of AMB. Most of the *Aspergillus* and *Fusarium* spp. were sensitive to pimaricin, independent of the solvent system. Importantly, the DMA/Intralipid™ formulation that was lyophilized and reconstituted with distilled water only, retained full and stable anti-fungal efficacy, when assayed both after 3 days and after more than 4 months at 4°C. All the *Aspergillus* strains, except for *A. flavus*, had pimaricin MIC values in the 2-4 µg/ml (2.1-4.2 µM) range. The tested *A. flavus* was also sensitive to the drug, but with a slightly higher MIC value of 16 µg/ml

(17  $\mu$ M). All the tested strains of *Fusarium* and *Candida* spp. were sensitive to pimarinic in the range of 2-4  $\mu$ g/ml (Tables 2 and 3).

#### **EXAMPLE 4. Quantitative Pimaricin Analysis in Plasma and Pharmacokinetics of iv Pimaricin.**

The objective of this experiment were:

(1) To show that the drug can be administered intravenously and recovered from the plasma from experimental animals using a quantitative extraction technique and HPLC assay; and

(2) To show that the pimarinic plasma pharmacokinetics after iv administration of the DMA/20% aqueous lipid formulation in beagle dogs are appropriate for treating systemic microbial diseases, in particular Fusariosis.

#### **Methodology.**

##### **Quantitative Extraction of Pimaricin in Plasma.**

Canine plasma (0.2 ml) and human plasma (0.5 ml) were mixed with various amounts of pimarinic (in <3% of the final volume), to yield a drug concentration of 0.05-3.0  $\mu$ g/ml (from a pimarinic stock solution in DMA/20% Intralipid™ at a concentration of 10 mg/ml). The drug was extracted from plasma samples using a slight modification of the method described by Napoli et al (43). Briefly, 0.2 ml plasma was mixed with 0.2 N HCl in MeOH (1:1, v/v), and after thorough mixing by a vortex machine, the sample was extracted with three volumes of hexane. The hexane was separated from the pimarinic by evaporation and the drug was reconstituted in 200  $\mu$ l of MeOH prior to HPLC (43). Pimaricin was spectrophotometrically detected in the HPLC analysis as described above on page 14. The pimarinic recovery from human plasma spiked to a pimarinic concentration of 10  $\mu$ g/ml was calculated to be 91 $\pm$ 5%, and from canine plasma it was estimated to be in the order of 85 $\pm$ 4%. The assay was linear in the interval from 50 ng/ml to at least 1,000  $\mu$ g/ml.

##### **Parenteral Pimaricin in Beagles: Experimental Protocol.**

For the pharmacokinetics experiment we elected to use beagle dogs, since these animals are exceedingly sensitive to the toxic adverse effects of polyene antibiotics, and particularly to the nephrotoxic effects of these agents. The pimarinic was formulated in



1 DMA/Intralipid™ to a stock drug concentration of 10 mg/ml, and then diluted with  
2 Intralipid™, so the doses (1.0 mg/kg/day in two dogs and 5.0 mg/kg/day in two other  
3 dogs) could be administered IV in a volume of 10 ml over 1 hour by pump through a  
4 cephalic vein catheter. To assure reproducibility of the experimental conditions, the  
5 infusions were staggered; one dog at each dose level was started on two consecutive days.  
6 The investigation was performed in male beagle dogs weighing 10-14 kg. The animals  
7 were not anesthetized but were restrained in a hanging sling during the drug infusion,  
8 which was performed at the same time daily for 14 consecutive days. EKGs were  
9 recorded and blood samples were obtained for determination of pimaricin concentrations  
10 prior to the drug infusion and at various times during and following the infusion on the  
11 first day and on the last day of drug infusion. Blood for analysis of liver and kidney  
12 function, as well as for differential and complete blood counts, and platelet counts, was  
13 obtained in the morning before the first drug infusion, and also on days 8 and 15.

14 All animals were allowed free access to food and water, but with some restriction  
15 to space and mobility, since we were concerned that parenterally administered pimaricin  
16 could be cardiotoxic and cause fatal arrhythmias in a fashion similar to that of AMB,  
17 another polyene antibiotic.

18 The drug was administered through the cephalic vein with good tolerance. The  
19 cannula and tubing were carefully flushed with heparinized saline after each injection to  
20 prevent clot formation and to prevent drug from adhering to the catheter wall and thus  
21 interfering with the blood sampling for routine chemistries and for the pharmacokinetic  
22 analysis.

23 Blood samples of 3 ml were drawn in heparinized tubes before drug infusion, and  
24 at 10, 30, 55, 65, 70, 80, and 100 min, and at 2, 4, 6, 12, 18, and 24 hours after the start of  
25 the infusion. The blood was centrifuged at 1,000 x g for 10 min, and the plasma was  
26 separated and stored at -80°C until assayed by HPLC.

## 27 **RESULTS AND DISCUSSION OF THE DATA.**

### 28 **Pimaricin in Plasma and iv Drug Pharmacology.**

29 The drug extraction with hexane and MeOH from plasma was essential to avoid  
30 interference from endogenous plasma components and to recover the maximum amount

1 of drug. Chromatograms from blank plasma, pimaricin-spiked plasma, and one example  
2 of that obtained after extraction of a plasma sample from the current pharmacokinetic  
3 study are shown in Fig. 10. The pimaricin retention time in this system was 9.8-10.8 min.  
4 The recovery of pimaricin with the above described technique was  $91 \pm 5\%$  when human  
5 plasma was spiked *in vitro* with 10 µg/ml of drug. The assay was linear after drug  
6 extraction from plasma samples in the range from 50 ng/ml to 1.0 mg/ml. The drug  
7 recovery from canine plasma was  $85 \pm 4\%$ , with an accuracy of 98% and a limiting  
8 sensitivity of about 10 ng/ml. A standard curve was prepared in the concentration range  
9 from 100 ng/ml to 25 µg/ml (Fig. 11), and a good correlation was obtained between the  
10 plasma pimaricin concentration and peak AUC value ("AUC" refers to the area under the  
11 curve measurement that one gets as the exact reading from the fluorescence detector. I  
12 can be translated to drug concentration using a standard curve:

$$\text{AUC} = 1.2209e+4 + 3.2994e+5x, \quad r^2 = 1.00. \quad (\text{Eq. 1})$$

13 where e is the exponential function, x is the drug concentration that is sought, and  $r^2$  is the  
14 correlation coefficient for the linear regression analysis for the ideal curve obtained from  
15 the actual data points in the observation interval.  
16

17 The *in vivo* peak plasma pimaricin concentrations after iv administration of the  
18 above formulation was plotted for the two dose levels at the end of the 1 hour infusion  
19 and 5 hours later (Fig. 12); the measured concentrations are all within the *in vitro* range  
20 of sensitivity for the majority of the examined fungal isolates (see Tables 2 and 3).

### 21 **Animal Experiment.**

22 There were no clinically discernible cardiac arrhythmias assessed through clinical  
23 monitoring and serial EKGs before, during, and following the pimaricin infusions, and  
24 neither was there any detected impairment of hepatic or renal function over the 14-day  
25 experiment (Table 5). Group A consisted of two dogs (1 and 2) which were dosed at 1.0  
26 and 5.0 mg/kg/day, respectively. Group B consisted of two dogs (3 and 4) which were  
27 also dosed at 1.0 and 5.0 mg/kg/day, respectively. Doses were administered to Group A  
28 on days 1-14 and to Group B on days 2-15. Samples were taken from Group A on day 0  
29 (the day before treatment started), day 8 (after the first seven daily injections but before  
30 the eighth), and day 15 (the day after the final treatment). Samples were taken from

- 1 Group B on day 1 (the day before treatment started), day 9 (after the first seven daily
- 2 injections but before the eighth), and day 16 (the day after the final treatment).

Table 5  
Serum chemistry values in beagles after daily intravenous infusions of Pimaricin over a 14-day period

Table 5A  
Day 0 or Day 1 (baseline)

Group	Dog	Dose	Na	K	Cl	BUN	Creat	P	TP	Albu	DB	LDH	AST	ALT	TB	AP	GGT	Mg
A	1	1.0	139	4.5	105	13	0.8	3.9	5.9	3.4	0.0	518	84	52	0.3	195	1	1.3
A	2	5.0	142	4.6	107	14	1.4	3.0	6.0	3.5	0.0	247	36	31	0.2	84	2	1.2
B	3	1.0	148	5.7	112	21	1.2	4.8	6.5	3.9	0.0	467	40	38	0.2	88	1	1.8
B	4	5.0	146	4.7	109	15	1.1	3.6	6.6	3.7	0.0	196	33	68	0.3	261	2	1.7

Table 5B  
Day 8 or Day 9

Group	Dog	Dose	Na	K	Cl	BUN	Creat	P	TP	Albu	DB	LDH	AST	ALT	TB	AP	GGT	Mg
A	1	1.0	143	4.9	110	18	0.7	3.8	5.6	3.0	0.0	597	63	32	0.1	174	5	1.9
A	2	5.0	122	5.9	75	90	1.8	6.4	8.2	3.9	0.2	624	122	98	0.5	1093	12	3.4
B	3	1.0	135	4.9	103	20	0.9	3.7	5.6	3.3	0.0	675	54	37	0.2	80	3	1.9
B	4	5.0	132	3.8	98	24	0.9	3.0	5.5	2.7	0.1	527	59	232	0.2	911	15	1.7

Table 5C  
Study termination (day 15 or day 16)

Group	Dog	Dose	Na	K	Cl	BUN	Creat	P	TP	Albu	DB	LDH	AST	ALT	TB	AP	GGT	Mg
A	1	1.0	143	5.1	113	20	0.8	3.6	5.4	3.1	0.0	345	54	33	0.2	109	3	1.8
A	2	5.0	111	10.3	71	247	3.5	17.5	8.6	4.2	--	751	545	205	1.0	625	--	--
B	3	1.0	144	5.2	111	20	1.1	4.6	5.5	3.5	0.0	211	33	34	0.1	56	3	2.0
B	4	5.0	144	4.0	108	21	0.9	3.2	5.3	2.9	0.0	63	26	64	0.2	424	8	1.6

Animal 2 died on day 12 of the study. Blood was obtained and analyzed, with the exception of levels listed as (--), immediately post-mortem. Abbreviations used in the table have the following meanings. Magnesium level indicated for animal 4 on day 9 is the average of two readings.

Na	sodium
K	potassium
Cl	chloride
BUN	blood urea nitrogen
Creat	creatinine
P	phosphorus
TP	total protein
Albu	albumin
DB	direct bilirubin
LDH	lactic dehydrogenase
AST	serum aspartate aminotransferase
ALT	serum alanine aminotransferase
TB	total bilirubin
AP	alkaline phosphatase
GGT	gamma glutamyl transpeptidase
Mg	Magnesium

Table 6  
Hematologic values in beagles after daily intravenous infusions of Pimaricin over a 14-day period

Table 6A  
Day 0 or Day 1 (baseline)

Group	Dog	Dose	PT	PTT	Fibr	FDP	RET	WBC	HGB	HCT	MCV	PLT	Neu	Lym	Mon	Eos	Baso
A	1	1.0	6.0	13.5	420	neg	0.4%	39.1	12.8	37.3	68.0	246	92.2	3.1	4.1	0.2	0.0
A	2	5.0	5.5	13.3	190	neg	0.1%	12.1	14.4	41.5	67.3	388	65.4	26.4	3.8	3.6	0.3
B	3	1.0	6.6	15.8	330	neg	0.7%	12.9	16.4	49.1	69.9	544	73.5	18.8	4.9	2.4	0.2
B	4	5.0	5.5	15.3	420	neg	0.4%	5.8	17.2	50.6	70.3	208	52.7	28.8	14.1	4.1	0.1

Table 6B  
Day 8 or Day 9

Group	Dog	Dose	PT	PTT	Fibr	FDP	RET	WBC	HGB	HCT	MCV	PLT	Neu	Lym	Mon	Eos	Baso
A	1	1.0	6.0	12.3	390	neg	4.6%	21.9	11.6	35.0	71.0	346	80.0	10.4	7.1	1.8	0.2
A	2	5.0	7.0	15.3	555	neg	2.8%	48.8	18.6	53.0	64.1	112	89.0	5.4	4.2	0.7	0.1
B	3	1.0	5.3	12.8	230	neg	6.9%	15.2	12.6	37.9	70.3	358	65.2	23.6	6.9	3.7	0.2
B	4	5.0	5.8	13.5	430	neg	7.2%	16.2	13.6	40.5	71.6	138	75.4	11.9	10.3	1.8	0.1

Table 6C  
Study termination (Day 15 or 16)

Group	Dog	Dose	PT	PTT	Fibr	FDP	RET	WBC	HGB	HCT	MCV	PLT	Neu	Lym	Mon	Eos	Baso
A	1	1.0	7.8	12.0	220	neg	2.1%	14.2	11.0	33.4	72.4	255	80.0	14.0	3.9	1.8	0.1
A	2	5.0	10.8	23.5	330	neg	0.4%	81.6	19.6	53.2	62.0	202	96.0	2.0	1.0	0	0
B	3	1.0	8.3	13.3	280	neg	0.9%	14.5	12.3	37.0	71.3	421	65.4	25.2	4.4	4.6	0.1
B	4	5.0	8.3	13.0	310	neg	1.1%	19.4	11.6	35.1	72.4	152	76.6	11.7	8.4	3.0	0.1

1 As mentioned above, animal 2 died on day 12 of the study. Blood was obtained  
2 and analyzed, with the exception of MCV which was calculated, immediately post-  
3 mortem. Abbreviations used in Table 6 have the following meanings.

4	PT	prothrombin time
5	PTT	partial thromboplastin time
6	Fibr	fibrinogen
7	FDP	fibrin degradation products
8	RET	reticulocytes
9	WBC	white blood cell count
10	HGB	hemoglobin
11	HCT	hematocrit
12	MCV	mean corpuscular volume
13	PLT	platelet count
14	Neu	neutrophils
15	Lym	lymphocytes
16	Mon	monocytes
17	Eos	Eosinophils
18	Baso	Basophils

19 We found mild signs of hemolysis in the form of a gradual lowering of  
20 hemoglobin and hematocrit levels and a slight increase in reticulocyte counts during the  
21 study (Table 6). There was, however, no sign of bone marrow suppression/toxicity  
22 assessed by the white blood cell count, platelet count, or fibrinogen levels or any of the  
23 coagulation parameters (see Table 6). (Normal values for various hematological and  
24 serum chemistry parameters are provided in reference 44.)

25 Our data demonstrate the successful design of pharmaceutically acceptable  
26 formulations of pimarinic, ones that are physiologically compatible with parenteral  
27 administration, with good tolerance and negligible toxicity, as demonstrated in the canine  
28 model. The intravenous infusion of one of the preparations in beagles provided plasma  
29 concentrations that reached and over many hours maintained fungicidal pimarinic  
30 concentrations without any discernible untoward effects on the animals' clinical

1 performance or as detected by assessment of their hepatic or renal function during the 2-  
2 week experiment. It should be noted, that for this experiment we selected the "fresh"  
3 DMA/aqueous lipid formulation that had the highest concentration of an organic solvent,  
4 DMA, to allow for the least favorable scenario when considering the potential for adverse  
5 influence of the solvent system on hepatic and renal function, as well as on the  
6 hematopoietic and cardiovascular systems.

7 Our data obtained with several diverse formulations demonstrate conclusively that  
8 it should be feasible to introduce parenteral pimaricin in clinical therapy of systemic  
9 fungal infections including fusariosis, with the predictable attainment of antibiotic  
10 activity, and with a reasonable expectation of low normal organ toxicity. The inclusion  
11 of a lyophilization step in the formulation procedure significantly increased the  
12 stability/shelf-life of the final formulations. This step virtually eliminates the final  
13 use-preparation's content of the organic solvent, and we expect it not only to further  
14 reduce the risk of solvent system toxicity, but also to minimize the risk that the organic  
15 solvent could potentiate clinical adverse effects related to pimaricin.

16 It is apparent from the results that a dramatically improved bioavailability of  
17 pimaricin was provided. Further, this novel preparation yielded plasma drug  
18 concentrations and areas under the plasma concentration vs. time curves that were clearly  
19 fungicidal, based on comparisons with our *in vitro* sensitivity studies with pimaricin  
20 against several strains of *Aspergillus* spp., and *Candida* spp., but most importantly against  
21 *Fusarium* spp., since this fungus is typically multidrug resistant. The present invention  
22 makes it feasible to obtain beneficial effects of pimaricin against systemic mycoses, with  
23 the potential for a major improvement in the outcome of such infections.

24 Compositions of the present invention can further include additional  
25 pharmaceutically acceptable carriers, adjuvants, and/or biologically active substances.  
26 Compositions of the present invention, as described above, can be used in methods for  
27 treatment or prophylaxis of systemic fungal infections in mammals, particularly in  
28 humans. The methods involve administering to a mammal an amount of the  
29 compositions effective to prevent, eliminate, or control the fungal infection. The  
30 administering step can suitably be parenteral (preferably by intravenous injection). The



1 compositions can also be administered intranasally as an aerosol. Such administration is  
2 preferably repeated on a timed schedule, and may be used in conjunction with other  
3 forms of therapy or prophylaxis, including methods involving administration of different  
4 biologically active agents to the subject. The dose administered of a composition in  
5 accordance with the present invention is preferably between approximately 0.1 and 100  
6 mg/kg of body weight of the mammalian subject to which it is administered, most  
7 preferably between about 1-5 mg/kg.

8         The preceding description of specific embodiments of the present invention is not  
9 intended to be a complete list of every possible embodiment of the invention. Persons  
10 skilled in this field will recognize that modifications can be made to the specific  
11 embodiments described here that would be within the scope of the present invention.

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- 1 a pharmaceutically acceptable dipolar aprotic solvent; and  
2 a pharmaceutically acceptable aqueous secondary solvent.  
3
- 4 10. The method of claim 9, where the administration is intravascular.  
5
- 6 11. The method of claim 9, where the aprotic solvent is N,N-dimethylacetamide.  
7
- 8 12. The method of claim 9, where the aqueous secondary solvent is an aqueous lipid  
9 emulsion.  
10
- 11 13. The method of claim 12, where the aqueous lipid emulsion comprises a lipid  
12 component that includes at least one vegetable oil and at least one fatty acid.  
13
- 14 14. The method of claim 13, where the lipid component comprises at least about 5%  
15 by weight soybean oil and at least about 50% by weight fatty acids.  
16
- 17 15. The method of claim 9, where the secondary solvent is selected from the group  
18 consisting of water, saline solution, and dextrose solution.  
19
- 20 16. A method of preparing an antifungal composition, comprising:  
21 dissolving pimarinin or an antifungal derivative thereof in a pharmaceutically  
22 acceptable dipolar aprotic solvent; and  
23 adding to the solution a pharmaceutically acceptable aqueous secondary solvent.  
24
- 25 17. The method of claim 16, further comprising the step of lyophilizing the  
26 composition, whereby the majority of the aprotic solvent is removed from the  
27 composition and a dry, shelf-stable composition is produced.  
28
- 29 18. The method of claim 17, further comprising the step of reconstituting the dry  
30 composition by the addition of a pharmaceutically acceptable aqueous solvent.